2b6

Please type a plus sign (+) inside this box → ☐ +

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/902,812
Filing Date	Jul 10, 2001 **RECEIVED**
First Named Inventor	Hejlsberg **MAY 0 9 2003**
Group Art Unit	2126 **Technology Center 2100**
Examiner Name	OBERLEY, ALVIN E

Total Number of Pages in This Submission		Attorney Docket Number	MS1-866US

ENCLOSURES *(check all that apply)*

- ✔ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment / Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ✔ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers *(for an Application)*
- ☐ Drawing(s)   Sheets
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group *(Appeal Notice, Brief, Reply Brief)*
- ☐ Proprietary Information
- ☐ Status Letter
- ✔ Other Enclosure(s) *(please identify below):*
  1. Form PTO 1449 and (4) cited references.
  2. Return Receipt Post Card

Remarks

|||||| 22801 |||||
PATENT TRADEMARK OFFICE

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Lewis C. Lee, Reg. No. 34,656
Signature	*(signature)*
Date	May 6, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: _____
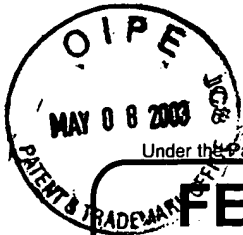
Typed or printed name	Dana L. Calhoun		
Signature	*(signature)* Dana L. Calhoun	Date	5/6/03

FEE TRANSMITTAL
for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

| **TOTAL AMOUNT OF PAYMENT** | ($) 0.00 |

Complete if Known

Application Number	09/902,812
Filing Date	Jul 10, 2001
First Named Inventor	Hejlsberg
Examiner Name	OBERLEY, ALVIN E
Art Unit	2126
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RECEIVED MAY 0 9 2003

Technology Center 2100

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☑ Deposit Account:

Deposit Account Number	12-0769
Deposit Account Name	Lee & Hayes, PLLC

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☑ Credit any overpayments

☑ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, **except for the filing fee**
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) ($)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims		Fee from below	Fee Paid
Total Claims	-20** =	X	18	=
Independent Claims	- 3** =	X	84	=
Multiple Dependent				=

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for *ex parte* reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) ($)

SUBMITTED BY			(Complete *(if applicable)*)
Name (Print/Type)	Lewis C. Lee	Registration No. (Attorney/Agent) 34,656	Telephone (509) 324-9256
Signature		Date	May 6, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE #4

Serial No. 09/902,812
Filing Date ....Jul 10, 2001
Inventorship.. Hejlsberg
Applicant .... Microsoft Corporation
Attorney's Docket No. MS1-866US
Title: Application Program Interface for Network Software Platform

RECEIVED

INFORMATION DISCLOSURE STATEMENT   MAY 0 9 2003

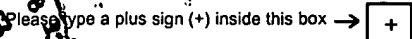*References* -- See Attached Form PTO-1449   Technology Center 2100

REMARKS

The citations listed, copies attached, are submitted in compliance with the

duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make

these citations of official record in this application.

Respectfully Submitted,

Date: May 6, 2003   By: _____
                                    Lewis C. Lee
                                    Reg. No. 34,656

Please type a plus sign (+) inside this box → [+]

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1

Compl te if Known	
Application Number	09/902,812
Filing Date	Jul 10, 2001
First Named Inventor	Helsber
Group Art Unit	2126
Examiner Name	
Attorney Docket Number	MS1-866US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
		TIM O'REILLY, The Network Really Is the Computer, The O'Reilly Network at (http://www.oreilyynet.com) 06/08/2000, pgs 1-11.	
		The Java tm 2 Enterprise Edition Developer's Guide, v1.2.1, May 2000, Sun Microsystems, Palo Alto Ca. U.S.A., chapter 1; pgs. 1-10 & pgs. 152-155.	
		BILL SHANNON, Java tm 2 Platform Enterprise Edition Specification, v1.2, Sun Microsystems, Palo Alto , Ca. U.S.A., 12/17/99, pgs. 2-1 thru 2-7 & 6-1.	
		JAVA tm PLATFORM, STANDARD EDITION, v1.2.2 API SPECIFICATION, 1993-1999 Sun Microsystems, Inc. in the U.S.A. and other countries. Palo Alto, Ca. U.S.A, pgs.1-4.	
		RECEIVED MAY 09 2003 Technology Center 2100	

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] Unique citation designation number. [2] Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.